

Please type a plus (+) sign in this box →

+

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

|  |   |
|--|---|
| Attorney Docket No.                      | 03-1427/L13.12-0249   |
| First Inventor or Application Identifier | Daniel J. Murray et al.   |
| Title                                    | PROCESS AND APPARATUS OF NOTIFYING A<br>REFERENCE MODEL OF THE OCCURRENCE OF AN<br>EVENT IN A MONITORED INTERFACE |

Express Mail Label No. EV388908215US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

Address To:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

- |   |  |
|---|--|
| <p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form e.g., PTO/SB17<br/>(Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Sheets <b>20</b>]<br/>(preferred arrangement set forth below)<br/>- Descriptive title of the Invention<br/>- Cross References to Related Applications<br/>- Statement Regarding Fed sponsored R &amp; D<br/>- Reference to Microfiche Appendix<br/>- Background of the Invention<br/>- Brief Summary of the Invention<br/>- Brief Description of the Drawings (if filed)<br/>- Detailed Description<br/>- Claim(s)<br/>- Abstract of the Disclosure</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. § 113) [Total Sheets <b>5</b>]</p> <p>4. Oath or Declaration [Total Sheets <b>3</b>]<br/>a. <input checked="" type="checkbox"/> Newly executed (original or copy)<br/>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))<br/>(for continuation/divisional with Box 17 completed)<br/>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting<br/>inventor(s) named in the prior application,<br/>see 37 C.F.R. §§1.63(d)(2) and 1.33(b).</p> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;"><p><b>* NOTE FOR ITEMS 1 &amp; 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY<br/>FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT<br/>IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</b></p></div> | <p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br/>(If applicable, all necessary)<br/>a. <input type="checkbox"/> Computer Readable Copy<br/>b. <input type="checkbox"/> Paper Copy (Identical to computer copy)<br/>c. <input type="checkbox"/> Statement verifying identity of above copies</p> |
|---|--|
- ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers (cover sheet & document(s))

8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney

9. ☐ English Translation Document

10. ☒ Information Disclosure Statement (IDS/PTO – PTO) ☐ Copies of IDS

11. ☐ Preliminary Amendment

12. ☒ Two Return Receipt Postcards (MPEP 503)

13. ☐ \*Small Entity Statement filed in prior application. Status still proper and desired (PTO/SB/09-12)

14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

15. ☐ Request and Cert. Under 35 USC 122 (Non-Pub)

16. ☒ Other: Application Data Sheet.....

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:☐ Continuation ☐ Divisional ☐ Continuation –in part (CIP)

of prior application No: \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

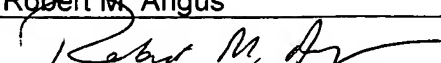
**FOR CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE****24319**☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

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| Country | USA                   | Telephone |    | Fax      |       |

|                   |   |                                   |          |
|-------------------|---|-----------------------------------|----------|
| Name (Print/type) | Robert M. Angus   | Registration No. (Attorney/Agent) | 24,383   |
| Signature         |  | Date                              | 12/12/03 |

17157 U.S. PTO

## FEE TRANSMITTAL

Complete if Known

Application No.

Filing Date

First Named Inventor

Title

Group Art Unit

Examiner Name

HEREWITH

Daniel J. Murray et al.

PROCESS AND APPARATUS OF  
NOTIFYING A REFERENCE MODEL OF  
THE OCCURRENCE OF AN EVENT IN A  
MONITORED INTERFACE

Total Amount of Payment \$ 770

Atty. Docket Number

03-1427/L13.12-0249

## METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 12-2252.

2. ☐ Check Enclosed

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Fee Description

1001 770 2001 385 ☒ Utility Filing Fee1002 340 2002 170 ☐ Design Filing Fee1004 770 2004 385 ☐ Reissue Filing Fee1005 160 2005 80 ☐ Prov. Filing Fee

Subtotal (1) \$ 770

## 2. EXTRA CLAIM FEES

|        | Number<br>Claims | Prior** | Extra | Fee from<br>Below | Fee Paid |
|--------|------------------|---------|-------|-------------------|----------|
| Total  | 20               | 20      | 0     | 18                | 0        |
| Indep. | 3                | 3       | 0     | 86                | 0        |

Multiple Dependent Claims

Total 20 20 0 18 0

Multiple Dependent Claims

\*\* Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity Small Entity

Fee Fee Fee Fee Description

Code (\$) Code (\$) Description

1202 18 2202 9 Claims in excess of 20

1201 86 2201 43 Independent claims in excess of 3

1203 290 2203 145 Multiple Dependent Claims

1204 86 2204 43 Reissue Independent Claims over  
Original Patent1205 18 2205 9 Reissue claims in excess of 20  
and over original patent

Subtotal (2) \$ 770

## FEE CALCULATION (Continued)

## 3. ADDITIONAL FEES

Large Entity

Small Entity

Fee

Fee

Fee

Fee

Fee Description

Fee

Paid

Code

(\$)

Code

(\$)

1051

130

2051

65

Surcharge - Late filing fee or oath

1052

50

2052

25

Surcharge - Late provisional  
Filing Fee or cover sheet

1053

130

1053

130

Non-English specification

1812

2,520

1812

2,520

For Filing a Request for Reexamination.  
(ex parte)

1251

110

2251

55

Extension for reply within first month

1252

420

2252

210

Extension for reply within second month

1253

950

2253

475

Extension for reply within third month

1254

1,480

2254

740

Extension for reply within fourth month

1255

2,010

2255

1,005

Extension for reply within fifth month

1402

330

2402

165

Filing a brief in support of an appeal

1403

290

2403

145

Request for oral hearing

1814

110

2814

55

Terminal Disclaimer Fee

1452

110

2452

55

Petition to Revive - unavoidable

1453

1,330

2453

665

Petition to Revive - unintentional

1501

1,330

2501

665

Utility/Reissue issue fee (inc. advance  
copies)

1502

480

2502

240

Design issue fee (inc. advance copies)

1460

130

1460

130

Petitions to the Commissioner

1807

50

1807

50

Petitions related to provisional  
applications

1806

180

1806

180

Submission of Information Disclosure  
Statement

8021

40

8021

40

Recording each patent assignment per  
property (times number of properties)

Other Fee (specify) \_\_\_\_\_

Subtotal (3) \$0

Signature

(Robert M. Angus)

Reg. No. 24,383

Date

Dec 12, 2003

Deposit Account No. 12-2252